

FINANCIAL PLANNER PROFILE

Sonali Shah

This Financial Planner profile forms part of the Financial Services Guide (FSG) and must be read in conjunction with the first part of the FSG.



CONTACT INFORMATION

Sonali Shah conducts financial planning activities through Western Financial. If you would like to make an appointment to discuss your financial needs and objectives in more detail, please contact Sonali on:

T/ 08 9480 3576 M/ 0438 999 983 F/ 08 9480 3501

E/ sonali.shah@westernfinancial.com.au

Level 19, 140 St Georges Terrace, Perth, Western Australia 6000

PROFESSIONAL BACKGROUND

Sonali Shah is employed by WA Local Financial Services Pty Ltd, trading as Western Financial. Western Financial is a Corporate Authorised Representative (AFS No.302707) of Quadrant First Pty Ltd AFSL No. 284443, ABN 78 102 167 877. Sonali's ASIC authorised representative number is 001005972.

Sonali Shah is a qualified financial planner with experience in the Financial Services industry since 2005. Her experience includes working as a Senior Financial Planner in the high net worth segment with a major bank. Sonali has the following qualifications:

- Bachelor of Commerce (Accounting and Finance)
- Advanced Diploma in Financial Planning
- SMSF Specialist Advisor (SSA)

Sonali is a member of the following professional associations:

- AFP® member of the Financial Planning Association of Australia
- SMSF Association

HOW SONALI SHAH IS PAID

Sonali receives a salary as an employee of Western Financial. No performance bonuses are paid if Sonali meets certain activity targets, or for any fees that she may generate for Western Financial.

INITIAL ADVICE FEES

Fees are charged for Sonali Shah's initial advice and the preparation of a Statement of Advice (SoA). Fees are based on the complexity of the advice provided and range from \$0 (minimum) to \$10,000 (maximum) plus GST. Sonali will provide an estimated cost, which you will agree on before commencing any work.

SUBSEQUENT SERVICE FEES

Fees for ongoing services and reviews are all based on the complexity of the advice provided and range from \$0 (minimum) to \$6,600 (maximum) inclusive of GST.

PAYING FEES

You have the option of paying fees from your investment and/or your superannuation if the sole purpose test is met. Alternatively, we can arrange an invoice for you. Payment is required 10 working days from the invoice date.

Please note that Sonali's advice fees still apply whether or not you decide to implement Sonali's advice.

Full details of all fees and commissions for financial services will be provided to you in a statement of advice and product disclosure statement at the time of receiving any recommendation.

AMOUNTS THAT WESTERN FINANCIAL AND OTHER RELATED ENTITIES RECEIVE

All fees, commissions and incentives are received by Western Financial.

REFERRALS

We do not pay a referral payment if you have been referred to us from someone else. Also we do not accept payment if we refer you to a third party.

AREAS SONALI SHAH IS AUTHORISED TO PROVIDE FINANCIAL SERVICES IN

Sonali is authorised by Quadrant First to provide Financial Services in the following areas of advice and products:

Advice

- Retirement planning
- Superannuation and rollovers
- Wealth creation
- Personal insurance
- Strategy and portfolio review services

Products

- Managed investments
- Superannuation
- Personal insurance
- Deposit and banking products.

SERVICES SONALI SHAH IS NOT AUTHORISED BY QUADRANT FIRST TO PROVIDE (IN ADDITION TO THE SERVICES LISTED ON THE FIRST PART OF THE FSG)

Sonali is not authorised to provide advice, services or products in the following areas:

- Wills, power of attorneys and trusts
- Self managed superannuation funds - advice to establish a SMSF (Investment Advice only can be provided)
- Mortgage broking
- Geared investments and margin lending
- Finance broking.

Please ask Sonali if you would like a referral for these services.